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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P6344 Total Pages 25

First Named Inventor or Application Identifier David I. Poisner

Express Mail Label No. EM335898998US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 27)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 6) unsigned
4.  Oath or Declaration (Total Pages 4)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) **(Note Box 5 below)**
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & documents(s))

9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney

10.  English Translation Document (if applicable)

11.  a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14.  a. Small Entity Statement(s)

b. Statement filed in prior application, Status still proper and desired

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

18. Correspondence Address

Customer Number or Bar Code Label

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## FEE TRANSMITTAL

**TOTAL AMOUNT OF PAYMENT \$1,020.00**

**Complete if Known:**

Application No. Unknown

Filing Date Herewith

First Named Inventor David I. Poisner

Group Art Unit Unknown

Examiner Name Not yet assigned

Attorney Docket No. 042390.P6344

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2.  Payment Enclosed

Check

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**FEE CALCULATION (fees effective 10/01/97)**

**1. FILING FEE**

**Large Entity      Small Entity**

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	790	201	395	Utility application filing fee	<u>\$790.00</u>
106	330	206	165	Design application filing fee	<u> </u>
107	540	207	270	Plant filing fee	<u> </u>
108	790	208	395	Reissue filing fee	<u> </u>
114	150	214	75	Provisional application filing fee	<u> </u>
<b>SUBTOTAL (1)</b>					.00

**2. CLAIMS**

Total Claims	-	Extra	Fee from below	Fee Paid
23	- 20 =	3	X 22.00	= 66.00
Independent Claims 5	- 3 =	2	X 82.00	= 164.00
Multiple Dependent Claims		X		.00

**Large Entity      Small Entity**

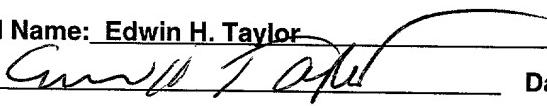
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
103	22	203	11	Claims in excess of twenty	<u>66.00</u>
102	82	202	41	Independent claims in excess of 3	<u>164.00</u>
104	270	204	135	Multiple dependent claim	<u>0</u>
109	82	209	41	Reissue independent claims over original patent	<u>0</u>
110	22	210	11	Reissue claims in excess of 20 and over original patent	<u>0</u>
<b>SUBTOTAL (2)</b>					<b>\$ 1,020 .00</b>

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	<u>Fee Paid</u>
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	400	216	200	Extension for response within second month	_____
117	950	217	475	Extension for response within third month	_____
118	1,510	218	755	Extension for response within fourth month	_____
128	2,060	228	1,030	Extension for response within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,320	241	660	Petition to revive unintentionally abandoned application	_____
142	1,320	242	660	Utility issue fee (or reissue)	_____
143	450	243	225	Design issue fee	_____
144	670	244	335	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	790	246	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	790	249	395	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify)					_____

**SUBTOTAL (3) \$1,020.00**

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**SUBMITTED BY:**Typed or Printed Name: Edwin H. TaylorSignature Date November 3, 1998Reg. Number 25,129

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(Date signed)

Serial/Patent No.: \*\* Filing/Issue Date: Herewith  
Client: INTEL CORPORATION  
Title: a race free data transfer algorithm using hardware based polling

BSTZ File No.: 042390-P6344

Atty/Secty Initials: EHT/JSD/jkm

Date Mailed: 11/3/98

Docket Due Date: \*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

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|--|---|---|
| <input type="checkbox"/> Amendment/Response ( <u>  </u> pgs.)  | <input type="checkbox"/> Express Mail No. <u>EM335898998US</u>                        | <input checked="" type="checkbox"/> Check No. <u>250-78</u> |
| <input type="checkbox"/> Appeal Brief ( <u>  </u> pgs.) (in triplicate)                              | <input type="checkbox"/> Month(s) Extension of Time                                   | <input type="checkbox"/> Amt: <u>1020.00</u>                |
| <input checked="" type="checkbox"/> Application - Utility ( <u>27</u> pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO-149 ( <u>  </u> pgs.) | <input type="checkbox"/> Check No. _____                    |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>  </u> pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal  | <input type="checkbox"/> Amt: _____                         |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>  </u> pgs.)                     | <input type="checkbox"/> Notice of Appeal   |   |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP ( <u>  </u> pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                               |   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>  </u> pgs.)                | <input type="checkbox"/> Petition for _____   |   |
| <input type="checkbox"/> Application - Design ( <u>  </u> pgs.)                                      | <input type="checkbox"/> Postcard   |   |
| <input type="checkbox"/> Application - PCT ( <u>  </u> pgs.)   | <input type="checkbox"/> Power of Attorney ( <u>  </u> pgs.)                          |   |
| <input type="checkbox"/> Application - Provisional ( <u>  </u> pgs.)                                 | <input type="checkbox"/> Preliminary Amendment ( <u>  </u> pgs.)                      |   |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief ( <u>  </u> pgs.)                                |   |
| <input type="checkbox"/> Certificate of Mailing  | <input type="checkbox"/> Response to Notice of Missing Parts                          |   |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>4</u> pgs.) <u>unsigned</u>               | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business  |   |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inv to be Signed Letter ( <u>  </u> pgs.)  | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                  |   |
| <input checked="" type="checkbox"/> Drawings: <u>16</u> # of sheets includes <u>6</u> figures        | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                     |   |
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| _____  |   |   |
| _____  |   |   |

UNITED STATES UTILITY PATENT APPLICATION

FOR

A RACE FREE DATA TRANSFER ALGORITHM USING HARDWARE BASED  
POLLING

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A RACE FREE DATA TRANSFER ALGORITHM USING HARDWARE BASED  
POLLING

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BACKGROUND

1. Field of the Invention

The present invention pertains to the field of data transfers in a computer or other processing system.

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2. Description of Related Art

An improved data transfer algorithm, such as an improved direct memory access (DMA) technique, may provide advantages both in terms of improved system performance and in terms of ease of interface software (e.g., device driver) design. Improved system performance may result from fewer bus transactions being used and from lengthening the total data transfer when additional data becomes available during the course of the data transfer. Simpler device drivers may be designed if the device driver software can easily obtain a precise and updated indication of the transfer status throughout the transfer.

A DMA transfer is a transfer of data (i.e., any stored information, instructions, etc.) between system memory and a device with limited or no intervention from the system processor once the transfer commences. A memory region that acts as the source or target of a DMA transfer is often physically contiguous. Alternatively, some DMA controllers may allow access to scattered memory regions (i.e., they support scatter-gather). In a

DMA controller supporting scatter-gather, either multiple addresses may be programmed into the DMA controller or a data structure may be used to track the multiple regions of memory.

One advantage of using DMA-style transfers is that a large block of memory may  
5 be automatically transferred without further intervention of the processor. In other words,  
the controller can be initialized, and then can provide numerous bus cycles to transfer data  
without further intervention. The controller, however, typically only proceeds until  
reaching an endpoint programmed in during the initialization. Additionally, some  
controllers provide no mechanism to notify other components or software routines of  
10 progress throughout the transfer.

As a result, inefficient latencies may develop and the data transfer process may be  
prematurely halted. For example, consider a transfer of buffers from memory to a DMA  
device. If there is no notification until all data from memory is transferred to the DMA  
device, then the space in memory used by the data is not released until the entire transfer is  
15 complete. This delayed release inefficiently reserves memory despite the fact that its  
contents may no longer be needed after the data transfer is complete. Moreover, additional  
data may have been prepared and placed in memory during the DMA transfer. If the DMA  
controller was aware of this data, it could also be transferred without interruption and re-  
initialization of the DMA controller.

20 Some prior art DMA techniques, however, do allow updating a value indicating the  
last buffer to be transferred during the DMA transfer. A stop bit or count may be stored at  
some point in memory (e.g., a stop bit may be within the buffer structure). When  
additional buffers become available for transmission, the last stop bit may be updated by

the software routine transferring the data into the additional buffers.

One problem with using a memory based stop bit is that the software routine(s) adding buffers to the list may experience a race condition with the DMA controller. Such software routines typically do not know exactly which buffer the DMA controller is 5 working on at a particular point in time. Therefore, there is a risk that a memory stop bit will be turned off by software after the DMA controller has already read the buffer and retrieved the enabled stop bit.

To overcome this race condition, one prior art approach requires the DMA controller to poll the last buffer indicator in memory. Such continuous polling may 10 disadvantageously use a large number of unnecessary bus cycles to read the pointer from memory. Additionally, such polling may still produce undesirable latencies. For example, if an additional buffer becomes ready for a transfer just after the DMA controller polls the value, the DMA controller will act on stale information until the next poll. As a result, the DMA controller may terminate a data transfer unnecessarily or at least experience a latency 15 until the next polling event since it is unaware that the additional buffer is ready.

Thus, the prior art may not provide an adequate data transfer technique. Some prior art techniques may either not allow additional transfers to be added after controller initialization, may not allow efficient independent preparation and/or reclamation of buffers, or may inject undesirable extra latencies or bus cycles.

## Summary

A method and apparatus for a race free data transfer algorithm using hardware based polling is disclosed. One disclosed method transfers information between a target device and a buffer which is one of a set of buffers. The buffer is pointed to by a current buffer value stored in a controller. The current buffer value is adjusted to point to a next buffer if the current buffer value is different than a last buffer value. One of the set of buffers is serviced utilizing either the current buffer value or the last buffer value from the controller.

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Brief Description of the Figures

The present invention is illustrated by way of example and not limitation in the  
5 figures of the accompanying drawings.

Figure 1 illustrates one embodiment of a system utilizing disclosed data transfer  
techniques.

10 Figure 2 illustrates a flow diagram for operations of one embodiment of the  
system of Figure 1.

Figure 3 illustrates one embodiment of a system utilizing a buffer descriptor table.

15 Figure 4 illustrates a flow diagram for one embodiment of the system of Figure 3.

Figure 5 illustrates a flow diagram for one embodiment of a buffer reclamation  
routine.

20 Figure 6 illustrates a flow diagram for one embodiment of a buffer preparation  
routine.

## Detailed Description

The following description provides a race free data transfer algorithm using hardware based polling. In the following description, numerous specific details such as register names, data structure configurations, specific system arrangements, and logic partitioning and integration choices are set forth in order to provide a more thorough understanding of the present invention. It will be appreciated, however, by one skilled in the art that the invention may be practiced without such specific details. In other instances, control structures and gate level circuits have not been shown in detail in order not to obscure the invention. Those of ordinary skill in the art, with the included descriptions, will be able to implement the necessary logic circuits without undue experimentation.

The presently disclosed techniques may be used to achieve an improved DMA architecture or to facilitate other similar types of data transfer transactions. A controller utilizing disclosed techniques may use a current buffer value and a last buffer value to allow the data transfer length to be changed after the transfer has been initiated without causing a race condition to occur. In some embodiments, the presently disclosed techniques may reduce the number of overhead bus cycles required to maintain a flexible length transfer and/or may allow the use of simpler device driver software for interfacing with the controller. Additionally, a prefetch register may be used to facilitate more efficient accesses when a data structure used in the data transfer is stored in main memory.

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Figure 1 illustrates one embodiment of a system using hardware based polling to maintain a race free DMA process. In Figure 1, a processor 145, a memory 150, and a DMA device 105 are coupled to a bus 140. More elaborate system architectures may be employed; however, the presently disclosed techniques are not limited to any particular system or set of device arrangements since data transfers may be implemented in a wide variety of ways. In fact, any system supporting a data transfer controller that may store values (e.g., in registers) which point to memory locations and are accessible to software routines initiating, controlling, or otherwise servicing the data transfer may be used for some embodiments.

In the embodiment shown in Figure 1, the DMA device 105 includes a DMA controller 100 and a DMA target 115. These components may be separate components or may be integrated together and/or with other components. The DMA target 115 has a data signal port 117. The DMA target 115 may send and/or receive either digital or analog signals via the port 117. Thus, the DMA device 105 may be almost any device which exchanges data with memory utilizing DMA or other similar transfers. Some examples are audio coder-decoders, modems, network interfaces, or other communication or signal exchange interfaces.

The DMA controller includes a current buffer register 110 and a last buffer register 112. Either a register as a dedicated storage location or a register as a particular entry in a general purpose storage area may be used. The current buffer register 110 and the last buffer register 112 may be implemented in a variety of manners so long as the values contained therein indicate or identify the proper buffer locations when needed. These registers allow the DMA controller 100 to track which one of a set of buffers 160

stored in the memory 150 is presently being transferred as well as the buffer at which the DMA controller 100 should stop (the last buffer).

For example, the registers may simply contain pointers to buffers in memory if a linked list type structure is used for the buffers. Alternatively, the registers may store 5 either direct or indexed pointers into an optional data structure 155 stored in memory. If the optional data structure 155 is used, the registers may point to buffer descriptors residing in a buffer descriptor table in the memory 150. In any case, the values stored in the current buffer register 110 and the last buffer register 112 adequately indicate or identify particular buffers to the DMA controller 100 and any software routines that 10 utilize this information.

The memory 150 also contains software routines. A buffer preparation routine 170 prepares buffers for a DMA transfer. If DMA data is being received by the memory 150 from the DMA target 115, then the buffer preparation routine 170 may assure that an empty buffer is ready to receive the data. If data is being transferred from the memory 15 150 to the DMA target 115, then the buffer preparation routine 170 may fill the buffer with data to be transferred.

A buffer reclamation routine 165 recaptures one or more buffers after the DMA transfer(s) affecting the buffer(s) complete. If DMA data is being received by the memory 150 from the DMA target 115, then the reclamation routine may pass the data on 20 to the software process that requested the data and then may free the buffer. If data is being transferred from the memory 150 to the DMA target 115, then the information stored in the buffer is typically no longer needed, and the reclamation routine can mark the buffer as free. If additional DMA transfers complete before the reclamation routine

165 exits, the reclamation routine may continue to reclaim buffers.

The flow diagram of Figure 2 further illustrates operation of one embodiment of the system in Figure 1. In block 205, the current buffer register 110 and last buffer register 112 are initialized. In block 210, data is then transferred to or from the buffer indicated by the current buffer register 110.

After the transfer, an interrupt bit associated with the transferred buffer is tested in step 215. If the interrupt bit is set, then buffers are reclaimed as shown in block 225 via the buffer reclamation routine 165 (Fig. 1). Any processed buffer up to but excluding the current buffer may be reclaimed. If the buffer was a receiving buffer, the data may be transferred out and the buffer freed. If the buffer was a source buffer, the buffer may simply be marked free if the data is no longer needed.

Notably, utilizing an interrupt associated with each buffer is optional. As indicated by the dotted line 226, the reclamation routine could be an independent process which is periodically activated or which continuously frees processed buffers. In any case, the reclamation routine may be able to quickly free used buffers without waiting for the end of the entire DMA transfer because it can access the current buffer register 112 in the DMA controller and therefore determine which buffers are still needed.

In block 220, since processing of the current buffer has completed, the current buffer register 110 is incremented. Once again, the process returns to transferring data to or from the current buffer as shown in block 210. Accordingly, a series of buffers may be transferred using DMA-style data transfer techniques and the associated processed buffers may be freed for other uses.

Additionally, however, the length of the transfer may be increased by the buffer

preparation routine 170 acting as a separate process 230 while the transfer is in progress.

As shown in block 235, the buffer preparation routine 170 prepares buffers for transfer.

This may involve designating a free buffer to receive data or filling a buffer with data to be transferred. The buffer preparation routine then updates the last buffer register 112 as

5 shown in block 240.

Consequently, the DMA controller 100 maintains an internal and updated indication of the last buffer which is available for DMA transfer. When the DMA controller 100 decides whether to continue a DMA transfer sequence, it has current information regarding buffer availability. There may be no long latency as typically

10 occurs if the DMA controller periodically polls the memory. Thus, the efficiency of the total DMA transfer may be enhanced since a longer DMA transfer may be achieved.

Furthermore, since the DMA controller 100 need not periodically poll the main memory 150 to determine the status of the buffers 160, the bus traffic from the DMA controller 100 may be reduced. This may advantageously allow increased other uses of the bus.

15 Thus, a system employing the disclosed techniques may achieve more efficient performance than prior art systems.

Figure 3 illustrates another embodiment of a system utilizing DMA controller based buffer tracking. Additionally, Figures 4-6 are flow diagrams illustrating operation of one embodiment of the system in Figure 3. Similarly to the system in Figure 1, the 20 system in Figure 3 includes a processor 345, a memory 350, a DMA controller 300, and a DMA target 315. The DMA target 315 may be an audio coder-decoder (CODEC) such as a CODEC compliant with the AC '97 Specification, Revision 1.01, September 10, 1998, published by Intel corporation of Santa Clara, California. The AC '97 specification was

publicly available at the time of filing of this application on the Internet at <http://www.intel.com/pc-suppl/platform/ac97>.

As illustrated, the DMA controller 300 may be integrated into a bus controller 340 which also interfaces with two other buses 342 and 344 (e.g., a peripheral components 5 interconnect bus and a low pin count bus). The system of Figure 3 also includes a memory controller 320 which is coupled to the processor 345 by a bus 344, to the memory 350 by a bus 335, to the bus controller 340 by a bus 330, and to a graphics controller 325 by a bus 326.

The embodiment shown in Figure 3 has a buffer descriptor table 355 in the 10 memory 350 and utilizes index values and a buffer descriptor table base register 302 in the DMA controller 300 to reference buffer descriptors. In turn, each buffer descriptor references one of a set of buffers 360 in the memory 350. An enlarged view of one of the 15 buffer descriptors is shown below the memory 350. The buffer descriptor may include a pointer 356 which points to the memory location of the buffer. The descriptor may also include a length field 358 and a command field 354 with an interrupt on complete (IOC) field 359 and a buffer underrun policy (BUP) field 357.

The IOC field 359 may be used to indicate to the DMA controller 300 whether or 20 not it should signal an interrupt upon completion of each transfer. The BUP field 357 may indicate what the DMA controller should do if there is insufficient data to keep passing on to the DMA target 315. For example, if the DMA target 315 generates an audible audio signal, it may be desirable to continue transmitting the last value to avoid an abrupt and undesirable change in the audio. If the DMA target 315 is transmitting data, it may be preferable to interpolate, zero out, or otherwise effectuate and underrun

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DRAFT EDITION 10

policy depending on the type of data being transmitted.

Referring now to the flow diagram of Figure 4, the operation of one embodiment of the system in Figure 3 is shown. In block 400, the base address of the buffer descriptor table 355 is written to the buffer descriptor table base register 302. This operation sets a reference point for the index values which will be stored in registers in the DMA controller 300. In block 405, the first set of buffers are prepared for DMA transfer prior to enabling the DMA controller 300.

After these buffers are prepared for DMA transfer, a current index value (CIV) and prefetch index value (PIV) are stored respectively in a CIV register 304 and a PIV register 306 in the DMA controller 300 as illustrated in block 410. The buffer descriptor table 355 in the memory 350 is implemented as a circular buffer with N entries. Accordingly, the DMA control logic 312 may count modulo N using the index values to implement the circular buffer structure. The index value is added to the value stored in the buffer descriptor table base register 302 to obtain the address in memory of the desired buffer descriptor.

Accordingly, the CIV register 304 points to a buffer descriptor 355a which in turn points to a buffer 360a. The PIV register 306 points to a buffer descriptor 355b (subsequent to 355a) which in turn points to a buffer 360b. Since buffer descriptors are used, the memory locations buffers 360a and 360b need not bear any particular relation to each other. The embodiment illustrated in the flow diagrams counts up from zero to N-1; however, decrementing or other appropriate counting techniques could also be used, with comparisons and offsets also being appropriately reversed where necessary.

In step 415, a last valid index (LVI) value is stored in a LVI register 308 in the

DMA controller 300. The last valid index value indicates the index (the offset into the buffer descriptor table 355 from the buffer descriptor base address stored in the table base register 302) of the last valid descriptor 355c. The last descriptor 355c points to a buffer 360c that is the last one prepared for a DMA transfer. Once the last valid index value is  
5 stored in the LVI register 308, the DMA controller 300 may be enabled.

In block 420, a start bit 314, which may be a bit in a control register, is set in the DMA controller 300. When the DMA controller 300 is first starting, both the buffer descriptor indicated by the current index value and the prefetch index value are fetched as indicated in block 425. During continuous operation, the DMA controller will already  
10 have the current buffer descriptor and will only need to prefetch the next buffer descriptor. Notably, prefetching is not required; however, when a multiple-tier data structure such as a table using buffer descriptors is used, prefetching may increase efficiency significantly.

In step 430, the buffer indicated by the current buffer pointer (the CIV) is processed. That is, the already fetched buffer descriptor is examined and the pointer to the buffer in memory is extracted as well as the length. The control logic 312 of the DMA controller 300 performs the appropriate number of read or write cycles based on the length of the buffer found in the buffer descriptor. When the transfer cycles are complete, the control logic 312 may take actions in accordance with the command field  
20 354 of the buffer descriptor.

For example, if the interrupt bit or field (IOC 359) is set or enabled, as tested in block 435, the DMA controller signals an interrupt as which may cause a reclamation routine 365 to be executed as indicated in block 440. If the IOC field 359 is not

set/enabled, the control logic 312 proceeds to compare the current index value to the last valid index. If the current buffer is the last buffer available, as tested in block 445, then the controller halts the DMA transfer as indicated in block 450.

If, however, the current index value is not equal to the last valid index, then there  
5 are additional blocks to be transferred and the control logic 312 proceeds to block 455 where the current index value is set to the prefetch index value. Since the descriptor for the prefetch index value has already been prefetched, the DMA controller 300 already has the memory address of the appropriate buffer and can continue data transfer. Additionally, the prefetch index value is adjusted (e.g., in this embodiment incremented)  
10 to point to the next buffer descriptor as indicated in block 460, and a prefetch of the descriptor at the new prefetch index value is scheduled as indicated in block 465.

Thereafter, the controller returns to block 430 where the (new) current buffer is processed. This process is repeated until the DMA transfer is halted (block 450) due to the exhaustion of the buffer supply (the current index value equaling the last valid index)  
15 or until another event interrupts the DMA transfer.

Figure 5 illustrates one embodiment of the reclamation routine 365. The reclamation routine may be executed in response to an interrupt generated at the end of a buffer (IOC bit set), or the reclamation routine may be implemented as a separate process which repeats periodically or which runs continuously. The reclamation routine  
20 maintains a head pointer (HEAD) to the last buffer that was freed. The reclamation routine or other software initially establishes the head pointer after at least one buffer is designated for transfer.

In block 500, the current index value is read from the DMA controller 300. If the

current index value is not greater than the head pointer, as tested in block 505, then there are no buffers which have been processed and have not been reclaimed (marked as free for re-use). Therefore, the reclamation routine 365 is finished reclaiming buffers as indicated in block 510. In other embodiments that use a different counting or buffer tracking technique, different comparisons may be used throughout to determine the relationship between the head pointer and the current index value.

If the current index value is less than the head pointer, the reclamation routine 365 marks the buffer pointed to by the head pointer as free as indicated in block 515. Thus, the buffer is released relatively quickly for use by other processes or for reuse in the DMA transfer since the entire DMA transfer need not be completed before the buffer is released. As indicated in block 520, the head pointer is adjusted to point to a next potentially reclaimable buffer (e.g., in this embodiment incremented) and the process returns to reading the current index value from the DMA controller 300.

Since the reclamation process does not happen instantaneously, the current index value may have advanced, allowing additional buffers to be reclaimed. Additional interrupts which may have occurred due to the completion of the processing of other buffers may be ignored since this embodiment of the reclamation routine continues looping and re-loading the current index value until all processed buffers have been reclaimed. This aspect may be particularly advantageous where the DMA controller uses non-reentrant interrupts that may have otherwise been lost to signal the end of each buffer.

Figure 6 illustrates one embodiment of a preparation routine 370. The preparation routine 370 alters the current index value to extend the DMA transfer and include

additional buffers that became available after the DMA transfer was initiated. As indicated in block 600, the tail pointer (TAIL) is set to the current index value. If the next buffer (TAIL + 1) is not free, as tested in block 605, the routine exits in block 610. Either a lack of available buffers, data, or buffer descriptors may prevent the preparation of  
5 additional buffers. If those resources needed are available, the tail pointer is incremented in block 615.

Next, a buffer and buffer descriptor are prepared as indicated in block 620. A free buffer may be selected if the DMA transfer is scheduled to read data into memory. A buffer may be filled with data if the DMA transfer is scheduled to transfer data from  
10 memory. The memory address of the buffer may be written to the pointer field 356 of the buffer descriptor and the length and special commands may be indicated in appropriate fields as well. Notably, this or other known or otherwise available buffer preparation techniques appropriate for DMA transfers may be used.

After the buffer and buffer descriptor are prepared, the incremented tail pointer is  
15 stored in the last valid index register 308. As a result, as soon as the buffer is prepared, the DMA controller 300 may receive current information regarding the last available buffer. In some embodiments, no extraneous bus traffic is required because the software routine updates a value stored in the DMA controller 300 when data for an additional buffer is available for DMA transfer.

20 Thus, a race free data transfer algorithm using hardware based polling is disclosed. While certain exemplary embodiments have been described and shown in the accompanying drawings, it is to be understood that such embodiments are merely illustrative of and not restrictive on the broad invention, and that this invention not be

limited to the specific constructions and arrangements shown and described, since various other modifications may occur to those ordinarily skilled in the art upon studying this disclosure.

09-12656-A-10396

What is claimed is:

1. A method comprising:

transferring information between a target device and a first buffer which is one of

5 a plurality of buffers, the first buffer being pointed to by a current buffer value stored in a controller;

adjusting the current buffer value stored in the controller to point to a next buffer

if the current buffer value is different than a last buffer value stored in the controller; and

10 servicing one of the plurality of buffers utilizing one of the current buffer value and the last buffer value from the controller.

2. The method of claim 1 wherein servicing comprises:

retrieving the current buffer value from the controller;

15 comparing the current buffer value to a head pointer of a buffer list;

marking a buffer pointed to by the head pointer as being processed if the head

pointer has not reached the current buffer value; and

adjusting the head pointer to point to a next potentially reclaimable buffer if the

head pointer has not reached the current buffer value.

20

3. The method of claim 1 wherein servicing comprises:

preparing a buffer pointed to by an incremented tail pointer; and

storing the incremented tail pointer as the last buffer value in the controller.

4. The method of claim 1 wherein the current buffer value is a first index into a buffer descriptor table and wherein the current buffer value points to the first buffer by pointing to a buffer descriptor offset by the first index from a buffer descriptor table base location.

5

5. The method of claim 4 further comprising:

prefetching a next buffer descriptor from the buffer descriptor table.

10 6. The method of claim 1 further comprising:

testing an interrupt field to determine whether the interrupt field is set to a first value to interrupt upon completion of processing the first buffer; and generating an interrupt if the interrupt field is set to the first value.

15 7. The method of claim 1 further comprising:

executing a buffer underrun routine according to a command field associated with the first buffer if there are no further buffers available for transfer.

8. A bus agent comprising:

20 a current buffer register for storing a first value indicating a first memory location for a current buffer;

a last buffer register for storing a second value indicating a second memory location for a last buffer ready for processing; and

control logic coupled to transfer data to or from the current buffer and to update  
the current buffer register to point to a next buffer unless the first value from  
the current buffer register is equivalent to the second value from the last buffer  
register.

5

9. The bus agent of claim 8 wherein the current buffer register contains a first index  
value and wherein the first index value in the current buffer register indicates the first  
memory location by pointing to a first buffer descriptor in a buffer descriptor table,  
the first buffer descriptor being the first index value locations from a buffer descriptor  
table base.

10

10. The bus agent of claim 9 wherein the last buffer register contains a second index  
value and wherein the second index value in the last buffer register indicates the  
second memory location by pointing to a second buffer descriptor in the buffer  
descriptor table, the second buffer descriptor being the second index value locations  
from the buffer descriptor table base.

15

11. The bus agent of claim 10 further comprising  
a prefetch buffer register, wherein the control logic is coupled to set the current  
buffer register equal to a value in the prefetch buffer register, to increment the  
value in the prefetch buffer register, and to schedule a prefetch of a buffer  
descriptor pointed to by the prefetch buffer register.

- 5
12. The bus agent of claim 8 wherein the current buffer register contains a first pointer to  
a linked list of buffers in memory and wherein the last buffer register contains a  
second pointer to a last buffer in the linked list of buffers in memory.
- 10
- 5     13. A system comprising:  
          a bus controller comprising:  
            a current buffer register for storing a first value indicating a memory location  
              of a current buffer;  
            a last buffer register for storing a second value indicating a memory location  
              of a last buffer, the last buffer being the last buffer which is prepared for  
              processing; and  
            control logic coupled to transfer data to or from the current buffer and to update  
              the current buffer register to point to a next buffer unless the first value is  
              equivalent to the second value;
- 15
- 10     a processor; and  
          a memory coupled to the processor, the memory containing:  
            a plurality of buffers including the current buffer and the last buffer;  
            at least one software routine which, if executed, causes the system to service  
              at least one of the plurality of buffers utilizing information stored in one of  
              the current buffer register and the last buffer register.
- 20

14. The system of claim 13 wherein the memory further contains:

          a buffer descriptor table having a plurality of buffer descriptors pointing to the

plurality of buffers, and  
wherein the current buffer register contains a first index value, the first index  
value in the current buffer register indicating the current buffer by pointing  
to a first buffer descriptor in the buffer descriptor table, the first buffer  
descriptor being the first index value locations from a buffer descriptor  
table base.  
5

15. The system of claim 14 wherein the last buffer register contains a second index value,  
the second index value in the last buffer register indicating the last buffer by pointing  
10 to a second buffer descriptor in the buffer descriptor table, the second buffer  
descriptor being the second index value locations from the buffer descriptor table  
base.

16. The system of claim 13 wherein the current buffer register contains a first pointer to a  
linked list of buffers in memory and wherein the last buffer register contains a second  
pointer to a last buffer in the linked list of buffers in memory.  
15

17. The system of claim 13 wherein the bus controller further comprises a prefetch buffer  
register and wherein the control logic is further coupled to set the current buffer  
20 register equal to a value stored in the prefetch buffer register, to increment the  
prefetch buffer register, and to schedule a prefetch of a prefetch buffer descriptor  
pointed to by the prefetch buffer register.

18. The system of claim 13 wherein the at least one software routine comprises:

a preparation routine which, if executed, causes the system to perform:

preparing a buffer pointed to by an incremented tail pointer; and

storing the incremented tail pointer in last buffer register.

5

19. The system of claim 13 wherein the at least one software routine comprises:

a reclamation routine which, if executed, causes the system to perform:

retrieving a current buffer value from the current buffer register;

comparing the current buffer value to a head pointer of a buffer list;

10 marking a buffer pointed to by the head pointer as being processed if the head

pointer has not reached the current buffer value; and

adjusting the head pointer to point to a next potentially reclaimable buffer if

the head pointer has not reached the current buffer value.

15 20. A system comprising:

a processor;

a bus agent comprising:

a current index register;

a prefetch index register; and

20 a last index register;

a buffer descriptor base address register;

control logic coupled to transfer data to or from a buffer pointed to by the

current index register, to stop retrieving data if the current index register

and the last index register contain equivalent values, to set the current  
index register equal to the prefetch index register, to increment the  
prefetch index register, and to schedule a prefetch of a prefetch buffer  
descriptor pointed to by values stored in the prefetch index register and the  
buffer descriptor base address register.

5

a memory coupled to the processor and the bus agent containing:

a table storing a plurality of buffer descriptors

a preparation routine which, if executed, causes the system to perform:

checking an incremented tail index to determine whether the

10

incremented tail index points to a free buffer;

if the incremented tail index points to the free buffer, then

preparing the free buffer by storing data to be retrieved by the

bus master into the free buffer;

storing the incremented tail index in the last index register;

15

a reclamation routine which, if executed, causes the system to perform:

retrieving a current index value from the current index register;

comparing the current index value to a head pointer;

if the head pointer is less than the current index value, then

marking a buffer pointed to by the head pointer as free;

20

incrementing the head pointer;

returning to comparing the current index value to the head

pointer.

21. An article comprising a machine readable medium having stored thereon a plurality of instructions which, if executed by the machine, cause the machine to perform:

transferring information between a direct memory access (DMA) controller and a

first buffer which is one of a plurality of buffers, the first buffer being pointed

5 to by a current buffer register in the DMA controller;

adjusting the current buffer register to point to a next buffer if the current buffer

register contains a different value than a last buffer register; and

servicing one of the plurality of buffers utilizing information contained in one of

the current buffer register and the last buffer register.

10

22. The article of claim 21 wherein the servicing performed by the machine further

comprises:

retrieving a first value from the current buffer register;

comparing the first value to a head pointer of a buffer list;

15 marking the buffer pointed to by the head pointer as being processed if the head

pointer has not reached the first value; and

adjusting the head pointer to point to a next potentially reclaimable buffer if the

head pointer has not reached the first value.

20 23. The article of claim 21 wherein the servicing performed by the machine further

comprises:

preparing a buffer pointed to by an incremented tail pointer; and

storing the incremented tail pointer in last buffer register.

## Abstract

5        A method and apparatus for a race free data transfer algorithm using hardware  
based polling. One disclosed method transfers information between a target device and a  
buffer which is one of a set of buffers. The buffer is pointed to by a current buffer value  
stored in a controller. The current buffer value is adjusted to point to a next buffer if the  
current buffer value is different than a last buffer value. One of the set of buffers is  
10      serviced utilizing either the current buffer value or the last buffer value from the controller.

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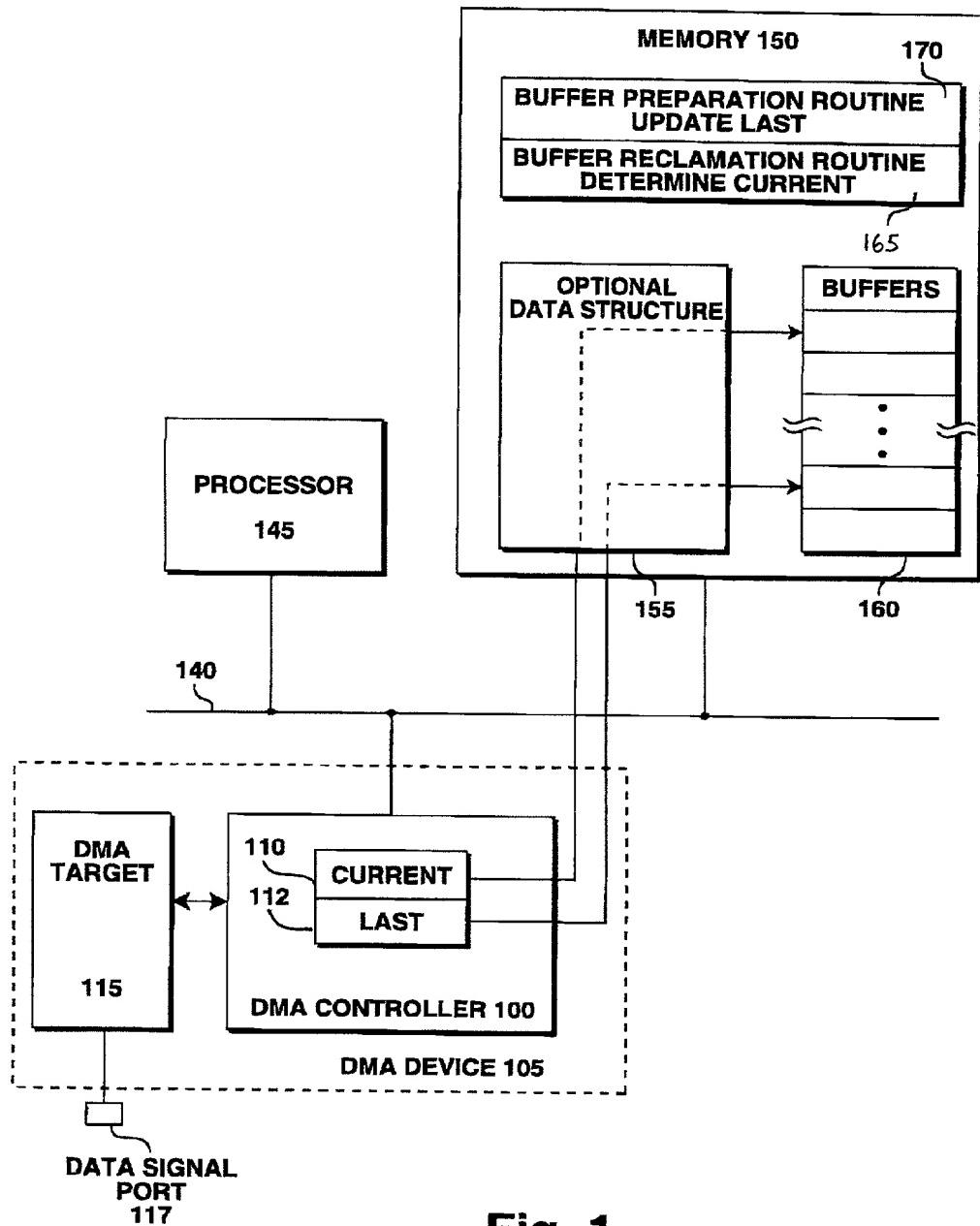
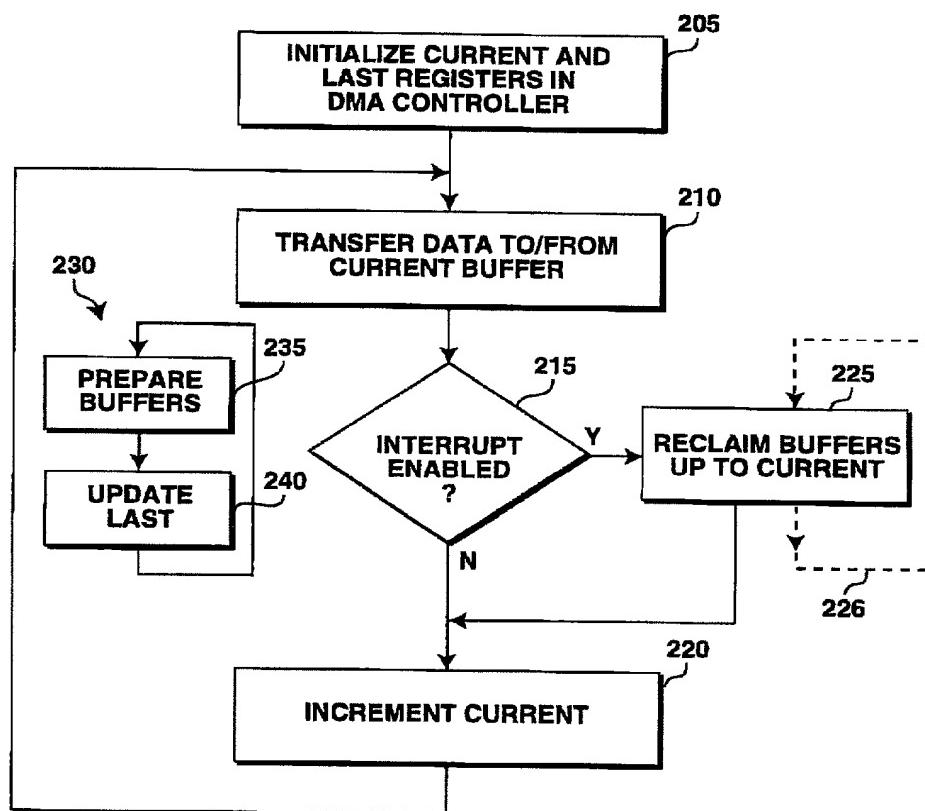
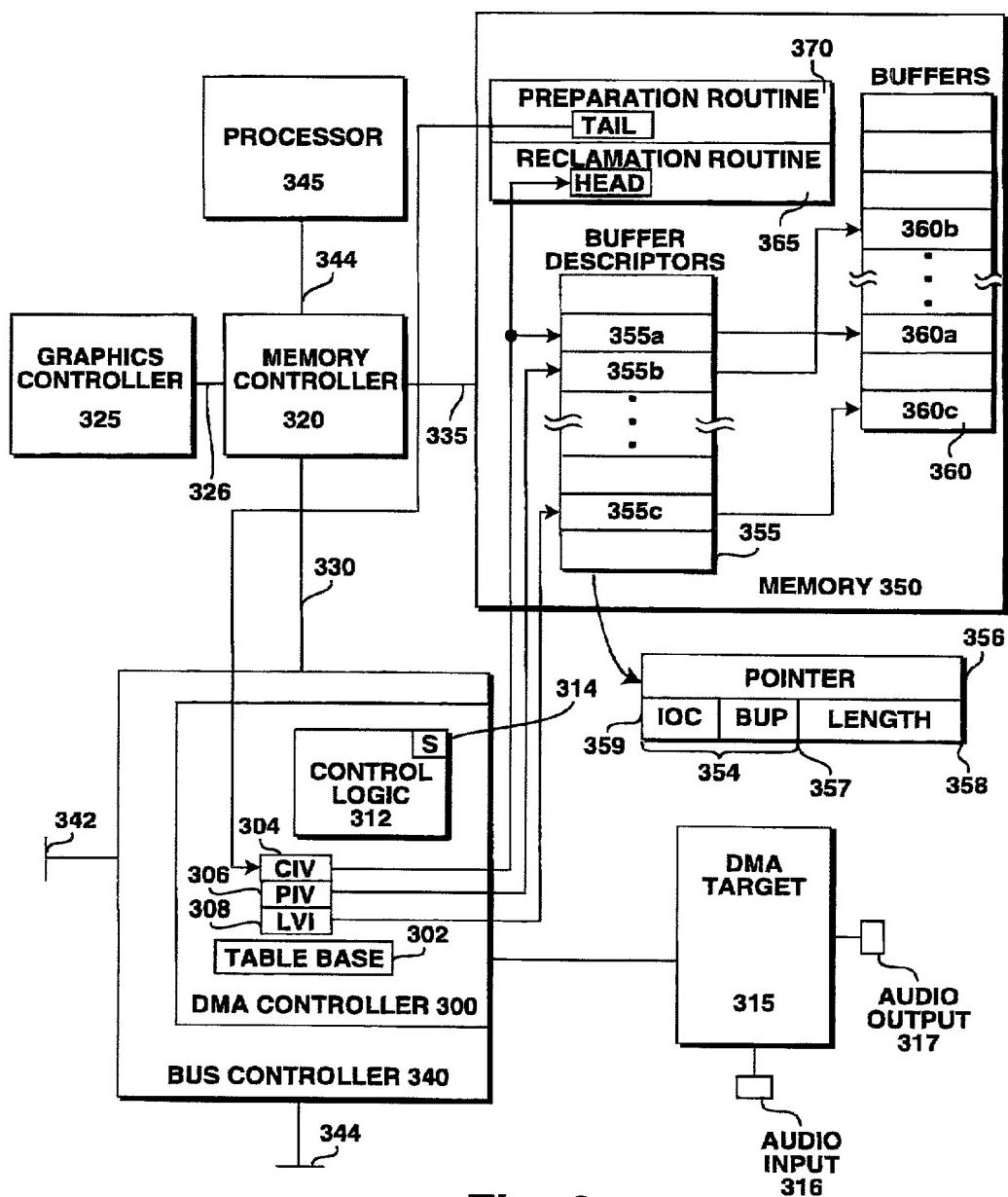


Fig. 1

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**Fig. 2**

**Fig. 3**

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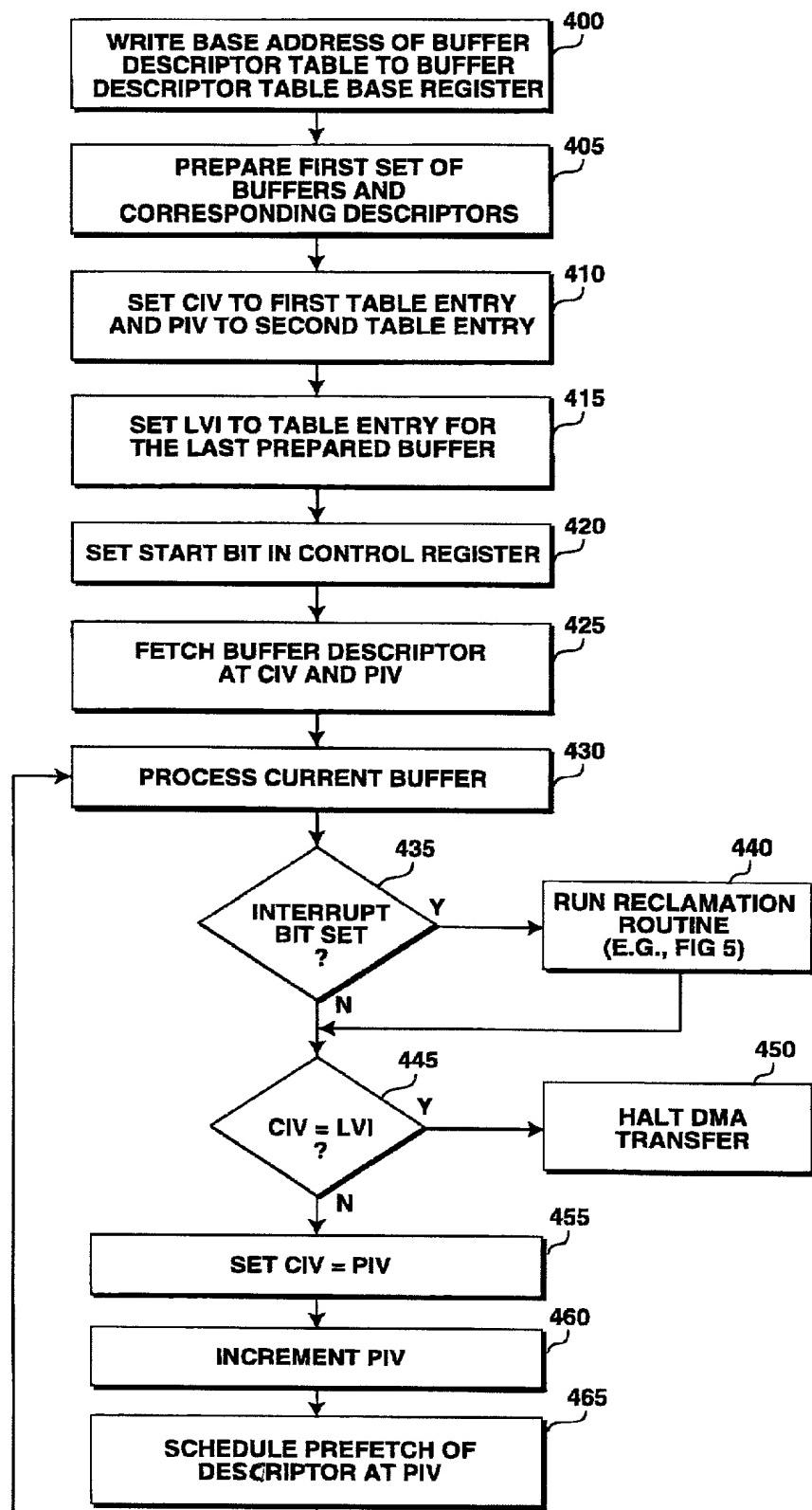
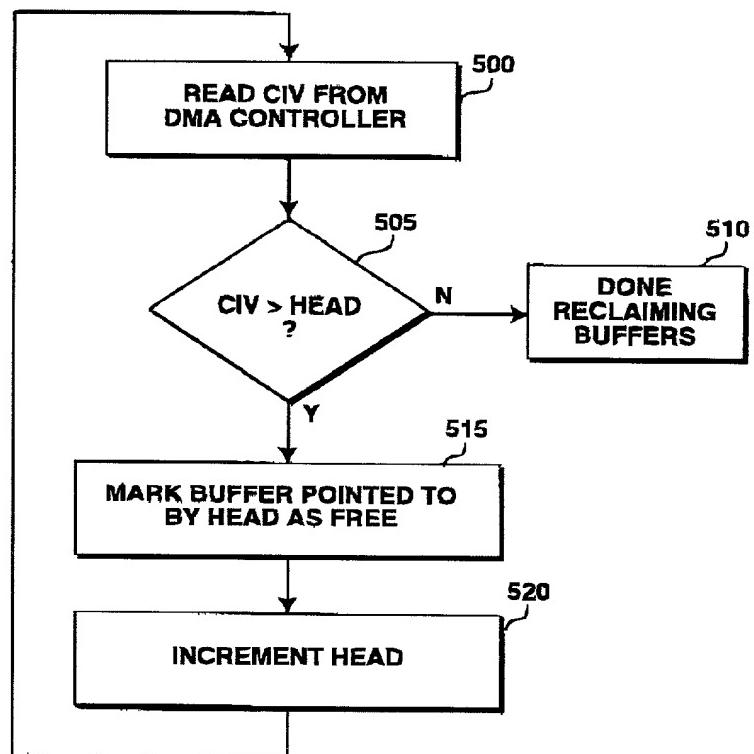


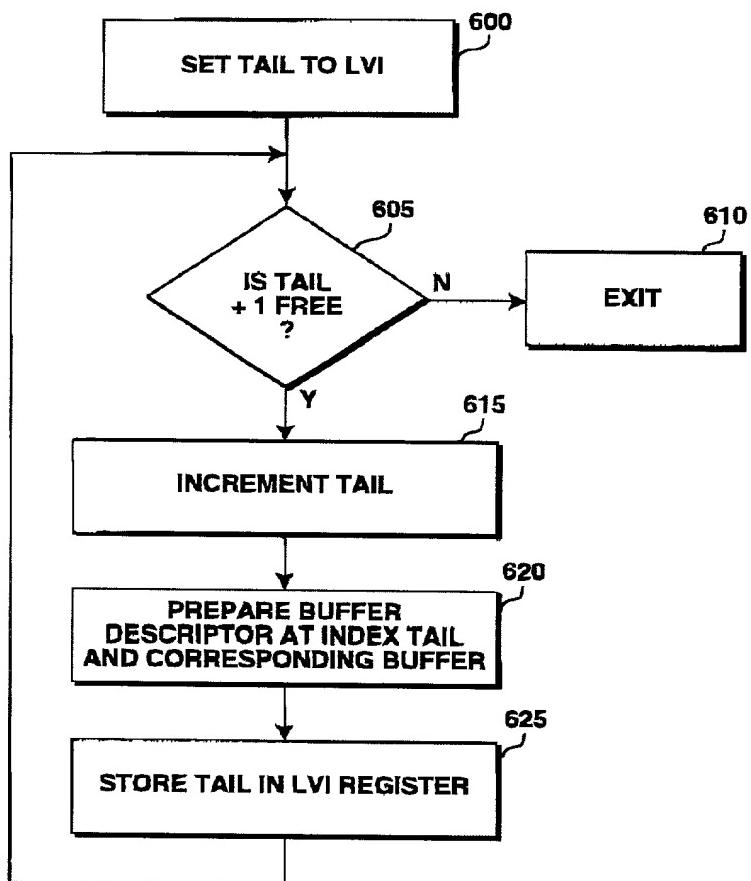
Fig. 4

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**Fig. 5**



**Fig. 6**

Attorney's Docket No.: 042390.P6344

PATENT

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION  
(FOR INTEL CORPORATION PATENT APPLICATIONS)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A RACE FREE DATA TRANSFER ALGORITHM USING HARDWARE BASED POLLING

the specification of which

X is attached hereto.  
— was filed on \_\_\_\_\_ as  
United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>	<u>Priority Claimed</u>
-------------------------------------	-------------------------

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

(Application Number)	Filing Date
(Application Number)	Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)	Filing Date	(Status -- patented, pending, abandoned)
(Application Number)	Filing Date	(Status -- patented, pending, abandoned)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full Name of Fifth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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